

FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER LAL-C534-US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/018767	
INTERNATIONAL APPLICATION NO. PCT/GB00/01562		INTERNATIONAL FILING DATE 20 April 2000 (20.04.00)		PRIORITY DATE CLAIMED 03 July 1999 (03.07.99)	
TITLE OF INVENTION IMPROVEMENT IN AND RELATING TO EDGE GRINDING					
APPLICANT(S) FOR DO/EO/US STOCKER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information: 					

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/018767		INTERNATIONAL APPLICATION NO. PCT/GB00/01562		ATTORNEY'S DOCKET NUMBER LAL-C534-US	
---	--	---	--	---	--

21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY 	
				\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	34 - 20 =	14	x \$18.00	\$ 252.00	
Independent claims	5 - 3 =	2	x \$84.00	\$ 168.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$ 0
TOTAL OF ABOVE CALCULATIONS =				\$ 1310.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 1310.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1310.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 1310.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 50-0760 in the amount of \$ 1310.00 to cover the above fees.
 A duplicate copy of this sheet is enclosed.

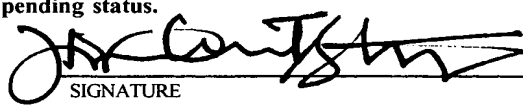
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 50-0760. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Daniel C. Stelter, Division IP Counsel
 UNOVA UK Ltd., A UNOVA Company
 c/o Cincinnati Machine, A UNOVA Company
 4701 Marburg Avenue, Cincinnati, Ohio 45209
 (513) 841-7032 telephone, (513) 841-7020 facsimile
 dan_stelter@cinmach.com e-mail


 SIGNATURE
 Daniel C. Stelter, Div. IP Counsel
 NAME
 40,830
 REGISTRATION NUMBER

Landis Gardner

JC18 Rec'd PCT/PTO 19 DEC 2001

10/018767
Daniel C. Stelter
Division Intellectual
Property Counsel

A UNOVA Company

VIA U.S.P.S. EXPRESS MAIL
MAIL LABEL No. EL245144994US

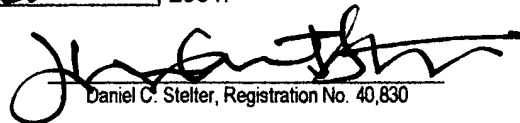
19 December 2001

4701 Marburg Avenue
Cincinnati, Ohio 45209
513.841.7032 telephone
513.841.7020 facsimile
dan_stelter@cinmach.com

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that a true and accurate copy of the correspondence listed below was deposited with the U.S. Postal service "Express Mail to Addressee" service in an envelope addressed to the Honorable Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Box PCT, Washington, D.C. 20231, carrying the Express Mail Label Number indicated below on this the 19th day of December, 2001.

EL245144994US
Express Mail Label Number


Daniel C. Stelter, Registration No. 40,830

Honorable Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D.C. 20231

RE: NATIONAL PHASE FILING UNDER 35 U.S.C. § 371
Applicant : STOCKER
Title : IMPROVEMENT IN AND RELATING TO EDGE GRINDING
Our Ref. : LAL-C534-US
Relating to : PCT Patent Application Serial No. PCT/GB00/01562
Filed on 20 April 2000 (Priority Date: 03 July 1999)

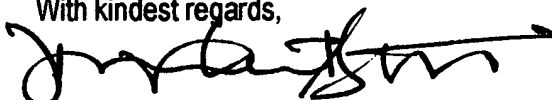
Dear Assistant Commissioner:

Enclosed herewith for filing, please find the following:

1. Transmittal Letter to U.S. Elected Office, Form PTO-1390, 2 sheets, plus two (2) copies thereof, including an authorization to charge deposit account no. 50-0760 in the amount of \$1310.00 for payment of the basic national fee, plus any deficiency;
2. Preliminary Amendment Under 37 C.F.R. 1.115, 9 sheets, with Marked-up Version of Amended/New Claims to Show Changes Made, 4 sheets; and,
3. a self-addressed, postage pre-paid, return-receipt postcard.

A copy of the international application was communicated previously to the Office by the International Bureau. Please return the postcard stamped to indicate your receipt of the above-referenced documents. Thank you for your assistance in this matter.

With kindest regards,



Daniel C. Stelter
Attorney for Applicant